

Third Party Administration & Compliance Fee Schedule

Owner-Only Plans	Defined Contribution Plan Setup	\$275
	Defined Contribution Annual Compliance and Administration	\$500
	Defined Benefit Plans Setup	\$2,000
	Defined Benefit Annual Compliance and Administration	\$2,000
	Per Additional Owner Plan Setup	\$125
	Per Additional Owner Annual Compliance and Administration	\$125
Non-Safe Harbor 401(k) Plans	New Plan Setup	\$800
	Transition of Existing Plan	\$1,500
	Administration and Annual Compliance Services	\$2,000 + \$25 per participant +0.05% asset-based trail*
Safe Harbor 401(k) Plans	New Plan Setup	\$800
	Transition of Existing Plan	\$1,500
	Administration and Annual Compliance Services	\$1,450 + \$20 per participant +0.05% asset-based trail*
Defined Benefit Plans	New Plan Setup	\$3,600
	Administration and Annual Compliance Services	\$3,600 + \$65 per participant
	PBGC Calculations (If Applicable)	\$750
Ancillary Services	3(16) Fiduciary Services (Defined Contribution Only)	\$1,450 + \$10 per participant
	Contribution Uploads	\$100 per hour/15-minute min
	Source Tracking	\$250 per participant account
	Profit Sharing Calculations	\$750
	Discretionary Plan Amendments	\$200 per hour with 1 hour min
	Required Regulatory Restatements	\$1,200 min + \$200 per hour
	Defined Contribution Plan Termination	\$2,500
	Defined Benefit Plan Termination	\$5,500
	Misc. Special Consulting and Reconciliation Services	Custom Priced
Transactional Participant Fees	Partial Distributions	\$75
	Total Distributions	\$125
	Required Minimum Distribution Calculations	\$75
	Qualified Domestic Relations Order (QDRO)	\$350
	Loan Set-up/Refinance	\$150
	Loan Default Management	\$150
	Preparation of 1099R (If not provided by record keeper)	\$50

Rates do not include regulatory fees or insurance premiums and penalties. Rates are subject to change.

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